

## Realized Gains and Losses

From 01/01/2012 to 12/31/2012

Sample Client A1 Acct #: XXXXXXXX

### Realized Gains and Losses

Description	Date Acquired	Date Sold	Quantity	Net Proceeds	Cost	Short Term Gains	Long Term Gains	Total Gains
Sample Company #1	0/0/00	0/0/00	0.000	0.00	0.00		0.00	0.00
Sample Company #2	0/0/00	0/0/00	0.000	0.00	0.00	0.00		0.00
			0.000	0.00	0.00	0.00	0.00	0.00
Sample Company #3	0/0/00	0/0/00	0.000	0.00	0.00		0.00	0.00
Sample Company #4	0/0/00	0/0/00	0.000	0.00	0.00		0.00	0.00
Sample Company #5	0/0/00	0/0/00	0.000	0.00	0.00		0.00	0.00
<b>Total Short Term (Sales)</b>				0.00	0.00	0.00		
<b>Total Long Term (Sales)</b>				0.00	0.00		0.00	
<b>Total (Sales)</b>				0.00	0.00	0.00	0.00	0.00
<b>Total Gains</b>						<b>0.00</b>	<b>0.00</b>	<b>0.00</b>

**Recipient's Name and Address**

DANA JONES  
JOHN JONES  
C/O SAMPLE GLOBAL ENTERPRISE  
3RD FLOOR, SUITE 1800  
1234 MAIN STREET  
ANYTOWN, US 12345  
**Taxpayer ID Number:** \*\*\*-\*\*-0000  
**Account Number:** 1111-9999

**Payer's Name and Address**

CHARLES SCHWAB & CO., INC.  
211 MAIN STREET  
SAN FRANCISCO, CA 94105  
**Telephone No:** (800) 435-4000  
**Federal ID Number:** 94-1737782

Date Prepared: January 11, 2012

**Dividends and Distributions—2011**

**Form 1099-DIV**

Department of the Treasury—Internal Revenue Service Copy B for Recipient (OMB No. 1545-0110)

Box	Description	Amount	Total
<b>1a</b>	Total Ordinary Dividends (Includes amount shown in box 1b)	\$	5,805.00
<b>1b</b>	Qualified Dividends	\$	2,380.00
<b>2a</b>	Total Capital Gain Distributions (Includes amounts shown in boxes 2b, 2c and 2d)	\$	1,575.00
<b>2b</b>	Unrecap. Sec. 1250 Gain	\$	400.00
<b>2c</b>	Section 1202 Gain	\$	325.00
<b>2d</b>	Collectibles (28%) Gain	\$	400.00
<b>3</b>	Nondividend Distributions	\$	933.00
<b>4</b>	<b>Federal Income Tax Withheld</b>	<b>\$</b>	<b>450.00</b>
<b>5</b>	Investment Expenses	\$	240.00
<b>6</b>	Foreign Tax Paid	\$	300.00
<b>7</b>	Foreign Country or U.S. Possession	Canada	
<b>8</b>	Cash Liquidation Distributions	\$	950.00
<b>9</b>	Noncash Liquidation Distributions	\$	2,700.00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.